IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

YAMAGUCHI et al.

Serial No.

09/806,636

Filed

April 3, 2001

For

CONTROLLED-RELEASE ORAL PREPARATION OF

ESCULETIN AND ITS DERIVATIVES

EXPRESS MAIL CERTIFICATE

Date 5/23/01 Label No. ER 729/53 069 US
I hereby certify that, on the date indicated above, I deposited this paper and
accompanying papers and fee with the U. S. Postal Service and that it was addressed to
the Assistant Commissioner for Patents, Washington, D. C. 20231, by "Express Mail
Post Office To Addressee" service.

Janet Manuel

Name (Print)

Signature

EO9 M PTD 1200	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE						
FORM PTD-1390 (REV 10-2000)	ATTORNEY'S DOCKET NUMBER						
	LETTER TO THE UNITED STATES	FJIN-109					
	/ELECTED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
	A FILING UNDER 35 U.S.C. 371	09/806,636					
PCT/JP99/05451	TERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED October 6, 1998						
TITLE OF INVENTION CONTROLLED-RELEASE ORAL PREPARATION OF ESCULETIN AND ITS DERIVATIVES							
APPLICANT(S) FOR DO/EO/US	APPLICANT(S) FOR DO/EO/US Iwao Yamaguchi, Saichi Ono, and Tadahiko Chiba						
	e United States Designated/Elected Office (DO/EO/US) the fol	lowing items and other information:					
1. This is a FIRST submi	ission of items concerning a filing under 35 U.S.C. 371.						
	and the object to be be be becaute the beautiful of the base of th						
3. This is an express requ	est to promptly begin national examination procedures (35 U.	S.C. 371(f)).					
4. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).							
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. 🔲 is attached b	hereto (required only if not communicated by the International	ational Bureau).					
b. 📙 has been co	mmunicated by the International Bureau.	•					
	red, as the application was filed in the United States Rec						
	translation of the International Application as filed (35						
	claims of the International Application under PCT Articl						
	hereto (required only if not communicated by the Inter-	national Bureau).					
	ommunicated by the International Bureau.						
	en made; however, the time limit for making such amend	Iments has NOT expired.					
	en made and will not be made.						
	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
An oath of declaratio	on of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English language PCT Article 36 (35 U	translation of the annexes to the International Prelimina J.S.C. 371(c)(5)).	ry Examination Report under					
Items 11 to 16 below concern	n document(s) or information included:						
11. An Information Discl	losure Statement under 37 CFR 1.97 and 1.98.						
12. X An assignment docum	ment for recording. A separate cover sheet in compliance	e with 37 CFR 3.28 and 3.31 is included.					
13. A FIRST preliminary	13. A FIRST preliminary amendment.						
☐ A SECOND or SUBS	A SECOND or SUBSEQUENT preliminary amendment.						
14. A substitute specificat	A substitute specification.						
15. A change of power of	A change of power of attorney and/or address letter.						
16. X Other items or inform							
Copy of Notification Designated/Electric	tion of Missing Requirements Under 35 U.S.C. 371 cted Office mailed 05/02/01	in the United States					

	09/806.63	36	PCT/JP99/05451	_	FJIN-109		
	17 X The foll	lowing fees are submitte	·d·		CALCULATIONS		
	BASIC NATION						
•	Neither intern	ational preliminary exam	nination fee (37 CFR 1.482)				
	nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00						
•	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00						
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$710.00						
	International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00						
	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)			2)			
	and an elanns		OPRIATE BASIC FEE AM		s		
	Sumharme of \$130	00 for furnishing the or	th or declaration later than 2	0 x 30			
	months from the	earliest claimed priority	date (37 CFR 1.492(e)).	V X 30	\$ 130.00		
·	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
	Total claims	- 20 =	=	X \$18.00	\$		
	Independent claims	- 3 =		X \$80.00	\$		
	MULTIPLE DEPE	ENDENT CLAIM(S) (if app		+ \$270.00	\$		
.			L OF ABOVE CALCULAT		\$ 130.00		
·	Applicant cl are reduced b	aims small entity statu by 1/2.	s. See 37 CFR 1.27. The fees in	ndicated above	\$		
			SUBT	OTAL =	\$ 130.00		
	Processing fee of months from the	\$130.00 for furnishing the earliest claimed priority	ne English translation later than date (37 CFR 1.492(f)).	20 30 +	\$		
-	TOTAL NATIONAL FEE =				\$ 130.00		
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00		
	TOTAL FEES ENCLOSED =				\$ 170.00		
					Amount to be	\$	
					refunded:	\$	
`	• • • • • • • • • • • • • • • • • • •						
,	a. A check in the amount of \$\frac{170.00}{\tag{70.00}}\text{ to cover the above fees is enclosed.}						
	b. Please charge my Deposit Account No in the amount of \$ to cover the above for the deposit Account No in the amount of \$ to cover the above for the deposit Account No in the amount of \$ to cover the above for the deposit Account No in the amount of \$ to cover the above for the deposit Account No to co				er the above fees.		
	A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any						
05/25/2001 M	overpayı	ment to Deposit Account	No. <u>501032</u> . A duplicate	e copy of this she	eet is enclosed.	•	
01 FČ:154		170 00 00					
	130.00 OP NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
	SEND ALL CORRES	PONDENCE TO:		Ba	ms DHoli	Index	
	Barry I. Hollan			SIGNATUR	7 J. W. W 38		
	Hollander Law Firm, P.L.C.						
	College Virginia 2000			Honding			
	(703) 383-480			NAME 28,56	6		
	Date: May 23, 200 REGISTRATION NUMBER				1104011		
		<i>U</i>					



United States Patent and Trademark Office

-MAY 0-4 2001

	Commissioner for Patents, Box PCT United States Patent and Trademark Office
U.S. APPLICATION NO.	HOLLANDER LAW FIRM, P.L. C. Weshington, D.C. 2021
00/000,636	ATTY, DOCKET NO. F. L. N 109
09/806636	·
1	INTERNATIONAL APPLICATION NO.
BARRY I HOLLAND SUITE 305 10300	FR 1 1/3/39/05451
FAIRFAX VA 2203	
1	10 (0 1 4 T
.'	10/04/99 10/06/98
NOTTETCATION OF BUILDING	DATE MAILED: .05/02/01
STATES DESI	REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED GNATED/ELECTED OFFICE (DO 100 N)
 The following items have been submitted 	by the combined and are
Office as a Designated Office (3	by the applicant or the IB to the United States Patent and Trademark 7 CFR 1.494) and Elected Office (37 CFR 1.495):
	indication of Small Entity Ctons
Copy of the international applic	ation. Translation of the international continuity
Copy of Article 19 amendments	(s). Translation of Article 19 amendments into English CKETED Other:
Priority Document.	D I
Translation of Assessment E	tamination Report in English and its Annexes, if any.
	truational Preliminary Examination Report into English
2. Applicant has requested early processing	g under 35 U.S.C. 371(f) but has not filed the following indicated items and/or
prior to 20 or 30 months from the priority dal	g under 35 U.S.C. 371(f) but has not filed the following indicated items and/or Basic National Fee and the copy of the international application must be filed to avoid abandonment.
U.S. Basic National Fee.	c to avoid abandonment. Copy of the international application.
3. The following items BALLETT	[1] Copy of the international application.
acceptance under 35 U.S.C. 371:	within the period set forth below in order to complete the requirements for
a. I ranslation of the application i	NO English. A processing for will be
The current translation is de	or 30 months from the priority date.
Translation.	or 30 months from the priority date. Sective for the reasons indicated on the attached Notice of Defective
0. Processing fee for providing th	translation of the application and/or the Annexes later than the
c. Oath or declaration of the inver	lors, in compliance with 27 CPR 1.492(f)).
the application (preferably by	the International application number and international filing date). A
date.	and the appropriate 20 or 30 months from the priority
The current oath or declaration	on does not comply with 37 CED 1 407(2)
indicated on the attached PC	/DO/EO/917.
A A 4414	or declaration later than the appropriate 20 or 30 months from the
4. Additional claim tees of \$	D large entire —
due (37 CFR 1.492(g)). See attached PTO-875	the additional claim fees or cancel the additional claims for which fees are
PCT/DO/EO/920.	equence listing pursuant to 37 CFR 1.821-1.825. See attached
ALL OF THE ITEMS SET FORTH THE	
MONTHS FROM THE DATE OF THIS NOT	(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) ICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THON, WHICHEVER IS LATEE. PARTY OF THE PROPERTY OF THE PROPERT
THE PRIORITY DATE FOR THE APPLICA RESPOND WILL RESULT IN ABANDONM	TION MALICULARY MACHINE (WIER 37 CFR 1.495 applies) FROM
1.136(a).	ing a petition and fee for extension of time under the provisions of 37 CFR
Annexes will be cancelled. A processing fee will	Annexes MUST be submitted no later than the time period set above or the
/. Ine Article 19 amendments are cancelled s	ince a translation was a said than 20 or 30 months from the priority date.
20 p.1011	
Applicant is reminded that any communication to	the first and the
address given in the heading and include the U.S.	application no. shown above. (37 CFR 1.5)
A copy of this notice	MUST be returned with this response.
[N	ouce of Defective Translation
PTO-875 □P	CT/DO/BO/920

FORM PCT/DO/EO/905 (March 2001)

Lamont Hunter, Paralegal Telephone: 703.305-3686